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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> |  | Attorney Docket No. <b>450128-04021.1</b>                           |  |
|   |  | First Inventor <b>Ken Kutaragi et al.</b>                           |  |
|   |  | Title <b>RECORDING MEDIUM HOLDER AND INFORMATION PROVIDING BODY</b> |  |
|   |  | Express Mail Label No. <b>EU 490720874 US</b>                       |  |

  

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| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents. |  | ADDRESS TO: <b>Commissioner for Patents<br/>Box 1450<br/>Alexandria, VA 22313-1450</b> |  |
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| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original, and a duplicate for fee processing)</i><br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.<br>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages 47]</span><br><i>(preferred arrangement set forth below)</i><br>- Descriptive title of the invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix.<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings <i>(if filed)</i><br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets 13]</span><br>5. Oath or Declaration <span style="float: right;">[Total Pages 4]</span><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).<br>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76. | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i><br>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i><br>a. <input type="checkbox"/> Computer Readable Form (CFR)<br>b. Specification sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |
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| <b>ACCOMPANYING APPLICATION PARTS</b>  |  |
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents(s)) (From Parent)<br>10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement<br><input checked="" type="checkbox"/> Declaration/Power of Attorney a (From Parent)<br><i>(when there is an assignee)</i><br>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i><br>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 (From Parent) <span style="float: right;">Copies of IDS Citations</span><br>13. <input checked="" type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i><br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i><br>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).<br>Applicant must attach from PTO/SB/35 or its equivalent.<br>17. <input checked="" type="checkbox"/> Other: Check # 026889 for \$750.00 | 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:<br><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.: 09/648,017<br>Prior application information:    Examiner: Foster, Jimmy G.    Group/Art Unit: 3728<br><b>For CONTINUATION OR DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |

  

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| <b>19. CORRESPONDENCE ADDRESS</b>   |   |
| <b>20999</b><br>(Insert Customer No. or Attach bar code label here)    or    New correspondence address below |   |
| Name  | <b>William S. Frommer<br/>FROMMER LAWRENCE &amp; HAUG LLP</b>   |
| Address   | <b>745 Fifth Avenue</b>   |
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| Fax   | <b>(212) 588-0500</b>   |
| Signature   | <i>Hans R. Mahr</i>   |
| Date  | <b>July 8, 2003</b>   |
| Name (Print/Type)   | <b>Hans R. Mahr, Reg. No. 46,138 for<br/>William S. Frommer</b> |
| Registration No. (Attorney/Agent)   | <b>25,506</b>   |

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**FEE TRANSMITTAL**  
**for FY 2003**

Patent fees are subject to annual revision.

**Complete if Known**

|                      |   |
|----------------------|---|
| Application Number   | To be assigned (Continuation of 09/648,017) |
| Filing Date          | Herewith                                    |
| First Named Inventor | Ken Kutaragi et al.                         |
| Examiner Name        | Foster, Jimmy G. (Parent Application)       |
| Group/Art Unit       | 3728 (Parent Application)                   |
| Attorney Docket No.  | 450128-04021.1                              |

TOTAL AMOUNT OF PAYMENT

**\$750.00****METHOD OF PAYMENT**1. ☐

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Name**Frommer Lawrence & Haug LLP**Deposit  
Account  
Number**50-0320**☒ Charge any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐ Charge the Issue Fee Set in 37 CFR  
1.18 at the Mailing of the Notice of  
Allowance2. ☒ Payment Enclosed☒ Check☐ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid        |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|-----------------|
| 101                  | 740                   | 201                  | 370                   | Utility filing fee     | 750.00          |
| 106                  | 330                   | 206                  | 165                   | Design filing fee      |                 |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee       |                 |
| 108                  | 740                   | 208                  | 370                   | Reissue filing fee     |                 |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |                 |
| SUBTOTAL (1)         |                       |                      |                       |                        | <b>\$750.00</b> |

**2. EXTRA CLAIM FEES**

| Total<br>Claims    | Extra Claim | Fee from<br>below | Fee Paid |
|--------------------|-------------|-------------------|----------|
| 11                 | -20** = 0   | 18                | 0.00     |
| Ind.<br>Claims     | -3** = 0    | 84                | 0.00     |
| Multiple Dependent |             | 0                 | 0.00     |

\*\* or number previously paid, if greater; for reissues, see below

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 84                    | 202                  | 42                    | Independent claims in excess of 3                          |
| 104                  | 280                   | 204                  | 140                   | Multiple dependent claim, if not paid                      |
| 109                  | 84                    | 209                  | 42                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee   |
| SUBTOTAL (2)         |                       |                      |                       | <b>\$00.00</b>   |

**FEE CALCULATION****3. ADDITIONAL FEES**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid  |
|----------------------|-----------------------|----------------------|-----------------------|--|-----------|
| 105                  | 130                   | 205                  | 65                    | Surcharge - late filing fee or oath  |           |
| 127                  | 50                    | 227                  | 25                    | Surcharge - late provisional filing fee or Cover sheet                     |           |
| 139                  | 130                   | 139                  | 130                   | Non-English specification  |           |
| 147                  | 2,520                 | 147                  | 2,520                 | For filing a request of reexamination                                      |           |
| 112                  | 920*                  | 112                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |           |
| 113                  | 1,840*                | 113                  | 1,840*                | Requesting publication of SIR after Examiner action                        |           |
| 115                  | 110                   | 215                  | 55                    | Extension for reply within first month                                     |           |
| 116                  | 400                   | 216                  | 200                   | Extension for reply within second month                                    |           |
| 117                  | 920                   | 217                  | 460                   | Extension for reply within third month                                     |           |
| 118                  | 1,440                 | 218                  | 720                   | Extension for reply within fourth month                                    |           |
| 128                  | 1,960                 | 228                  | 980                   | Extension for reply within fifth month                                     |           |
| 119                  | 320                   | 219                  | 160                   | Notice of Appeal   |           |
| 120                  | 320                   | 220                  | 160                   | Filing a brief in support of an appeal                                     |           |
| 121                  | 280                   | 221                  | 140                   | Request for oral hearing   |           |
| 138                  | 1,510                 | 138                  | 1,510                 | Petition to institute a public use Proceeding                              |           |
| 140                  | 110                   | 240                  | 55                    | Petition to revive - unavoidable   |           |
| 141                  | 1,280                 | 241                  | 640                   | Petition to revive- unintentional  |           |
| 142                  | 1,280                 | 242                  | 640                   | Utility issue fee (or reissue)   |           |
| 143                  | 460                   | 243                  | 230                   | Design issue fee   |           |
| 144                  | 620                   | 244                  | 310                   | Plant issue fee  |           |
| 122                  | 130                   | 122                  | 130                   | Petitions to the Commissioner  |           |
| 123                  | 50                    | 123                  | 50                    | Petitions related to provisional Applications                              |           |
| 126                  | 180                   | 126                  | 180                   | Submission of Information Disclosure Statement                             |           |
| 581                  | 40                    | 581                  | 40                    | Recording each patent assignment per property (times number of properties) |           |
| 146                  | 740                   | 246                  | 370                   | Filing a submission after final rejection (37 CFR 1.129(a))                |           |
| 149                  | 740                   | 249                  | 370                   | For each additional invention to be examined (37 CFR 1.129(b))             |           |
| Other fee (specify)  |                       |                      |                       |  |           |
| Other fee (specify)  |                       |                      |                       |  |           |
| SUBTOTAL (3)         |                       |                      |                       |  | <b>\$</b> |

\* Reduced by Basic Filing Fee

**SUBMITTED BY**

Typed or Printed Name Hans R. Mahr, Reg. No. 46,138 for William S. Frommer

Signature

*Hans R. Mahr*

Date

07/08/03

**Complete (if applicable)**

Reg. Number

25,506

Deposit Account

50-0320

**WARNING: Information on this form may become public. credit card information should not be included on this form.****Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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